

An experimental assessment of the impact of drought and fire on western larch injury, mortality, and recovery

Journal:	International Journal of Wildland Fire
Manuscript ID	WF18044.R1
Manuscript Type:	Research Note
Date Submitted by the Author:	26-May-2018
Complete List of Authors:	Sparks, Aaron; University of Idaho, Department of Forest, Rangeland, and Fire Sciences Talhelm, Alan; University of Idaho, Partelli Feltrin, Raquel; University of Idaho, Department of Forest, Rangeland, and Fire Sciences Smith, Alistair; University of Idaho, Dept of Forest Resources Johnson, Daniel; University of Idaho, Department of Forest, Rangland, and Fire Sciences Kolden, Crystal; University of Idaho, Forest, Rangeland, and Fire Sciences Boschetti, Luigi; University of Idaho, Department of Forest, Rangeland, and Fire Sciences
Keyword:	Trees: conifers, Fire severity, Post-fire impacts, Carbon, Fire intensity
	*



1	Title: An experimental assessment of the impact of drought and fire on western larch injury,
2	mortality, and recovery
3	
4	Short title: Drought and fire effects on larch mortality
5	
6	Aaron M. Sparks ^{AC} , Alan F. Talhelm ^A , Raquel Partelli Feltrin ^A , Alistair M.S. Smith ^A , Daniel M.
7	Johnson ^B , Crystal A. Kolden ^A , Luigi Boschetti ^A
8	
9	^A College of Natural Resources, University of Idaho, Moscow, ID, 83844 USA
10	^B Warnell School of Forestry and Natural Resources, University of Georgia, Athens, GA, 30602
11	USA
12	^C Corresponding author: <u>asparks@uidaho.edu</u>
13	
14	Abstract
15	Climate change is increasing drought and fire activity in many fire-prone regions including the
16	western U.S. and circumpolar boreal forest. These changes highlight the need for improved
17	understanding of how multiple disturbances impact trees in these regions. Recent studies linking
18	fire behavior to plant ecophysiology have improved understanding of how fire impacts tree
19	function and mortality but have not investigated interactions between drought stress and fire. In
20	this study, Larix occidentalis saplings were subjected to different levels of water stress, followed
21	by low-intensity surface fires in a controlled laboratory setting. Post-fire mortality, recovery and
22	growth were monitored for up to a year post-fire. Generally, increased pre-fire water stress
23	resulted in decreased post-fire stem diameter (up to 5% lower) and height (up to 19% lower)

24 growth. However, severely water stressed saplings whose foliage had senesced prior to the fires had lower one-year mortality (14%) and significantly greater post-fire bud densities than 25 moderately stressed saplings that did not senesce (86% mortality). The mortality patterns suggest 26 27 that water-stressed western larch saplings exposed to low-intensity wildfires, or prescribed fires conducted as part of forest management activities, may exhibit lower mortality rates if stress-28 induced foliar senescence has occurred. 29 30 **Table of contents summary:** Through a controlled nursery and combustion laboratory 31 experiment, we show that increased pre-fire water stress decreased post-fire growth in surviving 32 saplings. However, low mortality and high bud density of severely stressed saplings suggests that 33 pre-fire foliar senescence can improve post-fire survival and recovery. 34 35 **Keywords:** Trees: conifers, fire severity, post-fire impacts, carbon cycling, tree growth, sapling, 36 deciduous 37 38 Introduction 39 Drought stress has produced widespread tree mortality and decreased growth in circumpolar 40 boreal forests, including those dominated by deciduous conifers in Eurasia and evergreen-41 dominated forests in North America (Dulamsuren et al. 2010; Beck et al. 2011; Peng et al. 42 2011). Larix, a genus composed of deciduous needle-leaf gymnosperms, is a common 43 component of many fire-prone ecosystems in these regions and plays a significant role in 44 regional carbon cycle dynamics and surface albedo (Gower and Richards 1990; Shuman et al. 45 46 2011). In western North America, western larch (*Larix occidentalis* Nutt.) is a highly valued

47	timber species, in part due to its high growth rate (Schmidt et al. 1976; Rehfeldt and Jaquish
48	2010). Along with other regions, climate change is predicted to increase frequency and severity
49	of droughts and fires in North America (Kharuk et al. 2008; Dai 2013; De Groot et al. 2013;
50	Young et al. 2016), which could alter the biological range of productive conifer species such as
51	western larch (Rehfeldt and Jaquish 2010; Coops and Waring 2011; Smith et al. 2014), yielding
52	younger forest age class distributions (Bonan 2008) and land cover type conversions (Shuman et
53	al. 2011; Millar and Stephenson 2015). However, the impacts and interaction of multiple
54	disturbances, such as drought and fire, on tree function, mortality, and post-fire recovery are
55	poorly understood (Millar and Stephenson 2015).
56	Independently, drought-induced water stress and fire can substantially affect tree
57	physiology, growth, and mortality. Water-stressed trees may close stomata for extended periods
58	to reduce cavitation in xylem tissues, leading to decreased photosynthesis and increased reliance
59	on nonstructural carbohydrate stores (NSC) (McDowell et al. 2011). If stomata remain closed,
60	trees utilize NSCs for maintenance respiration, as well as osmotic and defense needs (McDowell
61	et al. 2011; Adams et al. 2017). Under severe stress, deciduous trees can undergo leaf
62	senescence to conserve nutrients (Silla and Escudero 2006; Marchin et al. 2010). Because water
63	stress can decrease pools of NSCs, drought can potentially increase fire-induced mortality by
64	limiting carbon (C) available for post-fire recovery.
65	Fires can damage trees via multiple mechanisms involving the transfer of heat to the roots,
66	bole, and crown (Michaletz and Johnson 2007). Cell death (necrosis) or deformation in tree
67	tissues can severely impair C sequestration and vegetative bud regeneration (Michaletz and
68	Johnson 2006, 2008). Severe crown damage (~80% crown scorch) reduces photosynthesis in
69	remaining live foliage (Smith et al. 2016a, 2017) and is linked to decreased nitrogen uptake and

total NSCs in roots (Guo *et al.* 2004). Likewise, cell death or deformation in tree xylem and
phloem can impair movement of water, nutrients, and C (Bär *et al.* 2018). Several studies have
observed heat-induced cavitation in xylem conduits of cut plant segments (Michaletz *et al.* 2012;
West *et al.* 2016), and non-functioning xylem in tree cross-sections after wildfires (Balfour and
Midgley 2006; Smith *et al.* 2016b), leading to the hypothesis that reduced xylem conductivity is
an important mechanism of fire-caused injury and mortality (Balfour and Midgley 2006;

76 Kavanagh *et al.* 2010).

Interactions and feedbacks between water stress and fire on tree physiology are not well 77 understood. Pre-fire climatic stress can increase the probability that trees will die from fire-78 induced damage in temperate (van Mantgem et al. 2013) and tropical forests (Van Nieuwstadt 79 and Sheil 2005; Brando et al. 2014). Yet, van Mantgem et al. (2016) observed lower post-fire 80 81 mortality probability for some temperate conifers during a subsequent severe drought compared to unburned trees, which they attributed to lower stand densities and reduced competition for 82 resources in burned areas. In semi-controlled nursery experiments, exposure to fire did not affect 83 fine root growth of water-stressed *Quercus* spp. saplings (Chiatante et al. 2005; Di Iorio et al. 84 2011). Although these studies provide probabilistic evidence of interactions between drought and 85 fire effects, mechanistic research is needed to support accurate predictions of ecosystem 86 function. 87

Toxicological dose-response experiments provide a potential framework to study interactions of water stress and fire on trees. Previous fire dose-response studies have used wellwatered saplings (Smith *et al.* 2016a, 2017; Sparks *et al.* 2016) or low-intensity prescribed fires that did not result in tree mortality (Sparks *et al.* 2017). In this study, western larch saplings were subjected to water stress doses followed by a low-intensity surface fire that in a prior study only caused limited mortality in well-watered individuals (Sparks *et al.* 2016). Sapling is defined as
the growth stage following seedlings, where seedlings are plants dependent on stored seed
reserves. We tested the hypothesis presented in Smith *et al.* (2017) that pre-fire stress leads to
increased mortality in fire-affected saplings (Figure 1). We further sought to test whether the
degree of pre-fire water stress amplifies the dose-response relationship; i.e. trees with greater
pre-fire water stress would exhibit decreased growth, physiological function, and survival postfire.

100

101 Methods

102 *Larix saplings and growing conditions*

Western larch (*Larix occidentalis* Nutt.) saplings (N = 28) were grown in a climate-103 104 controlled greenhouse in Moscow, Idaho, USA, through two growing seasons under natural light conditions. The total number of saplings used in this experiment was limited due to the logistical 105 limitations (nursery space and cost) of growing and conditioning these trees to multiple levels of 106 107 water stress in a controlled nursery environment for this amount of time. Average (±SE) sapling height was 96.1 \pm 0.6 cm, with a height to crown base of 36.3 \pm 0.3 cm. Average diameter at root 108 collar was 12.9 ± 0.4 mm. Over this period, nutrient solutions (N-P-K) of Peters Professional 109 (The Scotts Co, Marysville, OH) fertilizer were applied during the early (10-30-20), mid (20-7-110 19), and late (4-25-35) growing season each year. Prior to the water stress and burn treatments, 111 saplings were repotted in a soil media mix consisting of 50% perlite, 22.5% sphagnum peat 112 moss, 22.5% vermiculite, and 5% fine bark chips in 9.5 L pots and allowed to acclimate for 2.5 113 months. During this time saplings were watered to field capacity daily to minimize water stress. 114 115

116 Water Stress and Fire Treatments

We randomly divided the saplings into four groups (n = 7) and applied different treatments 117 to each group. Each group was conditioned to different predawn water potentials (Ψ_{predawn}): 118 control (no water stress or fire), low water stress ($\Psi_{predawn}$: 0 to -0.75 MPa), moderate water stress 119 (Ψ_{predawn} : -1 to -1.75 MPa) and severe water stress (Ψ_{predawn} : -2 to -2.75 MPa). The low-water-120 stress Ψ_{predawn} range was chosen to match Ψ_{predawn} in well-watered *Larix* of similar size and age 121 in prior studies (Sparks *et al.* 2016; Smith *et al.* 2017). The severe-water-stress Ψ_{predawn} range 122 was chosen to match values of Ψ_{predawn} in water-stressed *Larix* in prior studies where net 123 124 photosynthesis and stomatal conductance approached zero (Higgins *et al.* 1987), a common indicator of severe drought stress (Rodriguez-Dominguez et al. 2016; Martin-StPaul et al. 2017). 125 This Ψ_{predawn} range also encompassed measurements of *Larix* saplings in a central Idaho forest 126 during a severe drought year ($\Psi_{\text{predawn}} = -2.2 \text{ MPa}$) (K.V. Baker and D.M. Johnson, unpubl. data) 127 and coincided with stress-induced leaf senescence for the severe-water-stress Larix in this study. 128 The moderate-water-stress Ψ_{predawn} range was in between the low and severe ranges. Water was 129 130 withheld from each water stress group until the desired shoot Ψ_{predawn} range was reached. During the water stress treatment period, fertilizer was also withheld from all saplings to avoid 131 confounding effects of the treatments. Low-water-stress Larix were watered daily to keep 132 Ψ_{predawn} above -0.75 MPa. 133

At the end of the water stress treatment period, each non-control *Larix* group was subjected to a surface fire of the same intensity. Following Smith *et al.* (2017) and Sparks *et al.* (2016), we determined the fuel load (kg m⁻²) of dry (~0% fuel moisture content) *Pinus monticola* needles needed to produce a fire radiative energy (FRE) "dose" of ~0.4 MJ m⁻². This dose was chosen as Sparks *et al.* (2016) demonstrated that >66% of well-watered *Larix* of similar age and size

139	survived for a year at this intensity level. Saplings in the same water stress group were burned
140	individually on the same day; low and moderate stress groups were burned six days after the
141	severe stress group. Post-burn, all saplings were immediately returned to the greenhouse and
142	watered to field capacity daily until foliar senescence (~60 days post-fire). Temperature and
143	relative humidity were recorded in the greenhouse facility every 30 min throughout the course of
144	the study using a model EL-21CFR-2-LCD temperature and humidity sensor (LASCAR
145	electronics, Erie, PA, USA) and used to calculate the vapor pressure deficit (VPD: kPa).
146	
147	Sapling Physiology, Growth, and Mortality
148	Shoot water potential was measured at predawn every two days starting at the beginning of
149	each water stress treatment using a Model 1505D Pressure Chamber (PMS Instruments
150	Company, Albany, OR, USA). Additionally, $\Psi_{predawn}$ was measured on burn day (pre-burn) and
151	at 1, 4, 14, 30 days post-fire; midday water potential (Ψ_{midday}) was also acquired on burn day and
152	at the same post-fire intervals. Shoots of ~10 cm in length were used for $\Psi_{predawn}$ and Ψ_{midday}
153	measurements. Due to the limited number of shoots on these small saplings and the destructive
154	nature of these measurements, three saplings from each group were randomly selected for water
155	potential measurement per sampling interval. As other studies have shown that removal of large
156	proportions of leaf area does not substantially affect subsequent leaf water potential (Reich et al.
157	1993; Pataki et al. 1998), we assumed that excising shoots did not significantly change sapling
158	water stress.
159	Light-saturated (1,500 μ mol m ⁻² s ⁻¹ PPFD) gas exchange measurements were performed on
160	the same days as $\Psi_{predawn}$ using a LI-6400XT and 6400–05 LED light source and broadleaf
161	chamber (LI-COR, Inc., Lincoln, NE) on five randomly-selected plants in each water stress

162 group. Five needles from each sapling were used per measurement. Needle area for each sample was measured with a LI-COR LI-3100C leaf area meter and used to calculate net photosynthesis 163 (A) and stomatal conductance (g_s) on leaf area basis. Height and diameter-at-root-collar (DRC) 164 were measured at the same intervals as gas exchange. Relative growth measures (% deviation 165 from pre-treatment) for DRC and height were calculated as: [(Growth – Growth_{avgPreTreatment}) / 166 Growth_{avgPreTreatment})]. Crown scorch was visually estimated for each sapling following Sparks et 167 al. (2016). Bud emergence date, density (number of buds per sapling), and general condition for 168 each Larix sapling were also recorded. We defined "immediate mortality" as tree death occurring 169 from 1 to 60 (date of needle senescence) days post-fire, while "delayed mortality" was defined as 170 tree death between 160 (date of needle emergence) and 365 days post-fire. Mortality was defined 171 as the death of all foliage and inability to regenerate shoots. 172

173

174 Data Analysis

Arithmetic mean \pm SE is given throughout the paper. Physiologic and growth differences between treatment groups were compared with ANOVA, and if significant (a=0.05), a Tukey's

177 Honest Significant Difference test.

178

179 **Results**

180 *Impacts of water stress on pre-burn physiology and growth*

181 Ψ_{predawn} did not significantly differ (p > 0.05) between low-water-stress and control *Larix* at

- any point during the study (Figure 2). Likewise, pre-fire physiology (Figure 3a,b) and growth
- 183 (Figure 3c,d) of the low-water-stress *Larix* was not different (p > 0.05) from the control *Larix*.
- 184 Moderate-water-stress *Larix* took seven days to reach the desired Ψ_{predawn} range (Figure 2).

185	During these seven days, Ψ_{predawn} , A, and g_s decreased by an average of 65% from pre-water
186	stress levels (Figures 2 and 3a,b). On burn day, moderate-water-stress <i>Larix</i> $\Psi_{predawn}$ was more
187	negative ($p = 0.005$) than both the control and low-water-stress groups. Height and DRC
188	continued to increase in the moderate water stress group and did not differ ($p > 0.05$) from the
189	control. Severe-water-stress <i>Larix</i> took 12 days to reach the desired $\Psi_{predawn}$ range. On burn day,
190	Ψ_{predawn} was more negative (p = 0.005) than all other groups. Like the moderate-water-stress
191	larch, Ψ_{predawn} , A, and g_s decreased by an average of 60% from pre-water stress levels (Figures 2
192	and 3a,b). During the last 4-5 days of the water stress period, foliage senesced sequentially in the
193	severe-water-stress treatment, with older green foliage on each sapling turning yellow before
194	younger foliage. Height and DRC growth were less than all other groups during this time but
195	were not significantly different ($p > 0.05$) than the control.

196

Impacts of water stress and fire on physiology, growth, and mortality 197

All burns had approximately the same duration (186 ± 0.6 seconds). Mean flame height (18) 198 199 cm; measured using still-frame video data) was nearly half of the average height-to-crown (36.3 cm), resulting in minimal live foliage combustion (<5% of crown) across all water stress groups. 200 There were no significant differences (p = 0.337) in crown scorch between low ($85 \pm 3\%$), 201 202 moderate $(87 \pm 5\%)$, and severe $(82 \pm 9\%)$ water stress groups. For all water-stress groups, Ψ_{predawn} recovered to pre-fire levels immediately after re-watering (within 1 day) and did not 203 differ (p > 0.05) from the control until all saplings started to senesce (~30-40 days post-fire) 204 (Figure 2). At 30 days post-fire, Ψ_{predawn} was more negative in the moderate-water-stress *Larix* (p 205 = 0.028) than in the control or severe-water-stress groups. Likewise, Ψ_{midday} for all water stress 206 207 groups recovered to pre-fire levels immediately after re-watering (Figure S1) and remained less

222	Discussion
221	
220	stress (14%) and low-stress (14%) groups. No control trees died during the experiment.
219	(160 to 365 days post-fire) was also greater in the moderate-stress group (86%) than the severe-
218	than the severe-stress (14%) and low-stress (0%) groups (Figure 4). Likewise, delayed mortality
217	Immediate mortality (<60 days post-fire) was greater in the moderate-stress group (43%)
216	moderate-stress-groups (Figure 4), with nearly a 3x difference at the end of the growing season.
215	density was consistently higher ($p < 0.0001$) in the severe-water-stress group than the low- and
214	decreased or remained the same for the duration of the experiment (Figure 3c,d). Post-fire bud
213	did not differ significantly ($p > 0.05$) (Figure 3c,d). Generally, post-fire DRC and height growth
212	Post-fire DRC and height growth decreased with increasing water stress, although groups
211	but A recovery took 30 days in the moderate-stress group (Figure 3a,b).
210	recovered to control levels within 14 days post-fire in the severe and low-water-stress groups,
209	October. Minimum observed A for all water stress groups occurred one day post-fire. A and g_s
208	negative (p < 0.05) than control saplings until all saplings started to senesce around early

This study supports previous experiments of the same fire intensity (0.4 MJ m⁻²) with similarly-sized *Larix occidentalis* where saplings experiencing minimal water stress ($\Psi_{predawn} > -$ 0.4 MPa and $\Psi_{midday} > -1.5$ MPa) had low mortality rates (<33%) up to 1 year post-fire (Sparks *et al.* 2016). Likewise, observed patterns of post-fire growth reductions with increasing water stress agree with other studies where drought and fire treatments significantly reduced above- and below-ground growth in *Quercus* spp. seedlings compared to control and drought-only seedlings (Chiatante *et al.* 2015). 230 The unexpected mortality patterns observed among the different water stress groups indicate 231 more complex dose-response relationships between water stress, fire, and physiology than expected (Figure 1). We hypothesize that the severe-water-stress group displayed lower mortality 232 233 than the moderate-water-stress group because the severe-water-stress group were able to use nutrients and C translocated from foliage to other tree tissues during the pre-fire water stress-234 induced foliar senescence. Nutrient resorption during drought-induced senescence can increase 235 236 survival among deciduous plants (Munne-Bosch and Alegre 2004) and deciduous trees benefit from nutrient resorption even under extreme drought conditions (Silla and Escudero 2006; 237 Marchin *et al.* 2010). As all treatment groups experienced a similar amount of crown scorch 238 (Figure 5), the severely water-stressed trees may have had more resources available to survive 239 and produce buds post-fire. *Larix* spp. are very efficient at translocating nutrients from senescing 240 241 needles to other tree tissues (Carlyle and Malcolm 1986; Gower and Richards 1990). During foliar senescence in autumn, Larix occidentalis has been observed to translocate ~87% of 242 nitrogen and ~66% of phosphorus from foliage (Gower *et al.* 1989). *Larix* spp. have also been 243 244 observed to have high resorption of NSC from senescing needles (Kagawa et al. 2006). This hypothesis is supported by the observed bud densities for the three water stress groups. Despite 245 similar post-fire crown loss (~85%) across all water stress groups, severe-water-stress Larix had 246 nearly triple the density of buds 30 and 60 days post-fire compared to the low and moderate 247 *Larix* (Figure 4). Stored carbohydrates are the C source for new needle formation in deciduous 248 trees such as Larix (Kagawa et al. 2006), and the bud density observations suggest that the 249 severe-water-stress Larix had greater C reserves to regenerate foliage. 250 In addition to regenerating foliage, C is a critical input for refilling embolized xylem 251

conduits (Salleo *et al.* 2009; Zwieniecki and Holbrook 2009; Nardini *et al.* 2011). Repeated

253 embolism formation and repair, such as that likely experienced by the moderate- and severe-254 water-stress groups pre-fire, could have depleted C stores (McDowell et al. 2008) and decreased cavitation resistance (e.g. cavitation fatigue - Hacke et al. 2001). As drying soil can limit 255 256 refilling of embolisms (McDowell *et al.* 2011), it is likely that the moderate- and severe-waterstress Larix had higher proportions of non-refilled conduits than the low-water-stress Larix that 257 were watered pre-fire. It is possible that the fire exacerbated xylem cavitation in the saplings 258 with higher proportions of functioning, non-senesced crown (low- and moderate-water-stress 259 *Larix*). Several studies have indicated that elevated vapor pressure deficit in the super-heated 260 plume that rises above the surface flames may be sufficient to induce cavitation in tree canopy 261 branches and foliage (Kavanagh et al. 2010; West et al. 2016). However, translocation of 262 nutrients and C, either during senescence or during embolism repair, requires functioning phloem 263 264 (Nardini et al. 2011; Hartmann et al. 2013). Translocation of nutrients and C under severe water stress conditions can be significantly impaired by decreased phloem transport (Sala et al. 2010). 265 For example, Hartmann *et al.* (2013) observed that water-stressed Norway spruce (*Picea abies*) 266 267 saplings had higher NSC concentrations in all tissues than non-water stressed saplings at mortality, indicating that reduced hydraulic function impaired mobilization of NSC. Thus, while 268 drought-induced senescence provides a mechanism that preserves nutrients and C for survival 269 and post-disturbance bud growth, severe drought can also constrain mobilization and utilization 270 of these resources. 271 We acknowledge that because our experiment lacked unburned water-stress treatment 272 groups, we are assuming the observed physiological, growth, and mortality differences between 273

provide clues to help decouple the effects of water stress and fire. Vance and Running (1985)

274

the drought-stress treatments were created by the fire treatments. However, previous studies

subjected smaller Larix occidentalis (~15 cm in height) to water stress treatments and found that 276 *Larix* with Ψ_{predawn} of -1.5 MPa were able to survive the drought treatment. The presence of 277 stress-induced leaf senescence was not noted. This finding suggests that the high mortality 278 observed in the moderate-water-stress *Larix* in this study (Ψ_{predawn} : -1 to -1.75 MPa) was the 279 result of the combined effects of the drought and fire treatments. 280 281 *Future research needs* 282 Our observations suggest an intriguing possibility where *Larix* that senesce and translocate 283 resources pre-fire may experience lower mortality in a subsequent low-intensity fire. Testing this 284 hypothesis would require measuring translocation to assess whether senescing foliage supplies 285 sufficient nutrients (NSC, N, P) for bud growth; xylem conductivity measurements to quantify 286

whether heat-induced cavitation and cell deformation observed in water bath studies (e.g.

288 Michaletz *et al.* 2012; West *et al.* 2016; Bär *et al.* 2018) occurs under natural fire conditions; and

289 gas exchange measurements to gauge stomatal activity for green and senesced needles at the time

290 of burning.

It is important to note that the mortality hypothesis presented in this study may not translate 291 to larger trees. For example, drought-induced crown dieback in larger trees often occurs at the 292 periphery of the tree crown (Rood et al. 2000; Sperry et al. 2002; Zhang et al. 2017), rather than 293 sequential senescence observed in this study, where older leaves senesce first to supply nutrients 294 to younger leaves and shoots (Munne-Bosch and Alegre 2004). As low-intensity fires typically 295 scorch tree crowns from the bottom-up, senescing foliage at the periphery of a large tree crown 296 may not provide the post-fire recovery advantage hypothesized for saplings. In fact, there is 297 298 evidence from temperate (van Mantgem et al. 2013) and tropical forests (Van Nieuwstadt and

299 Sheil 2005; Brando et al. 2014) that large trees with greater pre-fire water stress are more 300 susceptible to fire-induced mortality. Current hypotheses for fire-induced mortality of larger trees, such as xylem dysfunction (Michaletz 2018), have been demonstrated on excised branches 301 302 in laboratory water bath experiments (Michaletz et al. 2012; West et al. 2016; Bär et al. 2018). In terms of natural forest fires, there is evidence that fires impair large tree xylem hydraulics 303 (Smith 2015; Smith et al. 2016b; Bär et al. 2018) and evidence that fires do not affect xylem 304 hydraulics (Battipaglia et al. 2016; Thompson et al. 2017). Experiments that incorporate a range 305 of tree sizes and tree-scale treatments (Sparks et al. 2017) and physiology measurements are 306 needed for an improved understanding of size-dependent mortality (McDowell et al. 2018). 307 308

309 Conclusions

We observed that western larch under greater pre-fire water stress exhibit decreased growth 310 after a low-intensity surface fire. However, the unexpected bud density and mortality patterns 311 observed among the different water stress groups indicates that interactions between water stress, 312 313 fire, and deciduous tree physiology are more complex than a linear dose-response relationship. The mortality patterns observed in this study suggest that water-stressed western larch saplings 314 exposed to low-intensity wildfires, or prescribed fires conducted as part of forest management 315 activities, may exhibit lower mortality rates if stress-induced foliar senescence has occurred. 316 While this research improves understanding of drought-fire interaction impacts on saplings, more 317 research is needed to assess whether mortality patterns change with increasing tree size. 318 319

320 **Conflicts of interest**

321 The authors declare no conflicts of interest.

377	
522	
323	Acknowledgements
324	The authors thank the associate editor and anonymous reviewers for constructive comments that
325	improved the manuscript. We are grateful to Donald Regan and Thomas McDonough at the
326	University of Idaho Franklin H. Pitkin Nursery for their invaluable nursery cultivation advice
327	and logistic support. Funding for this research for Sparks, Kolden, and Smith was provided by
328	the National Science Foundation under award DMS-1520873. Sparks was additionally funded
329	through the Joint Fire Science Program GRIN Award 16-2-01-09.
330	
331	
332	
333	
334	References
335	Adams HD, Zeppel MJB, Anderegg WRL, Hartmann H, Landhäusser SM, Tissue DT, Huxman
336	TE, Hudson PJ, Franz TE, Allen CD, Anderegg LDL, Barron-Gafford GA, Beerling DJ,
337	Breshears DD, Brodribb TJ, Bugmann H, Cobb RC, Collins AD, Dickman LT, Duan H,
338	Ewers BE, Galiano L, Galvez DA, Garcia-Forner N, Gaylord ML, Germino MJ, Gessler A,
338 339	Ewers BE, Galiano L, Galvez DA, Garcia-Forner N, Gaylord ML, Germino MJ, Gessler A, Hacke UG, Hakamada R, Hector A, Jenkins MW, Kane JM, Kolb TE, Law DJ, Lewis JD,
338 339 340	Ewers BE, Galiano L, Galvez DA, Garcia-Forner N, Gaylord ML, Germino MJ, Gessler A, Hacke UG, Hakamada R, Hector A, Jenkins MW, Kane JM, Kolb TE, Law DJ, Lewis JD, Limousin J-M, Love DM, Macalady AK, Martínez-Vilalta J, Mencuccini M, Mitchell PJ,
338 339 340 341	 Ewers BE, Galiano L, Galvez DA, Garcia-Forner N, Gaylord ML, Germino MJ, Gessler A, Hacke UG, Hakamada R, Hector A, Jenkins MW, Kane JM, Kolb TE, Law DJ, Lewis JD, Limousin J-M, Love DM, Macalady AK, Martínez-Vilalta J, Mencuccini M, Mitchell PJ, Muss JD, O'Brien MJ, O'Grady AP, Pangle RE, Pinkard EA, Piper FI, Plaut JA, Pockman
 338 339 340 341 342 	 Ewers BE, Galiano L, Galvez DA, Garcia-Forner N, Gaylord ML, Germino MJ, Gessler A, Hacke UG, Hakamada R, Hector A, Jenkins MW, Kane JM, Kolb TE, Law DJ, Lewis JD, Limousin J-M, Love DM, Macalady AK, Martínez-Vilalta J, Mencuccini M, Mitchell PJ, Muss JD, O'Brien MJ, O'Grady AP, Pangle RE, Pinkard EA, Piper FI, Plaut JA, Pockman WT, Quirk J, Reinhardt K, Ripullone F, Ryan MG, Sala A, Sevanto S, Sperry JS, Vargas R,
 338 339 340 341 342 343 	 Ewers BE, Galiano L, Galvez DA, Garcia-Forner N, Gaylord ML, Germino MJ, Gessler A, Hacke UG, Hakamada R, Hector A, Jenkins MW, Kane JM, Kolb TE, Law DJ, Lewis JD, Limousin J-M, Love DM, Macalady AK, Martínez-Vilalta J, Mencuccini M, Mitchell PJ, Muss JD, O'Brien MJ, O'Grady AP, Pangle RE, Pinkard EA, Piper FI, Plaut JA, Pockman WT, Quirk J, Reinhardt K, Ripullone F, Ryan MG, Sala A, Sevanto S, Sperry JS, Vargas R, Vennetier M, Way DA, Xu C, Yepez EA, McDowell NG (2017) A multi-species synthesis

345 *Evolution* **1**, 1285–1291. doi:10.1038/s41559-017-0248-x.

- Balfour DA, Midgley JJ (2006) Fire induced stem death in an African acacia is not caused by
- 347 canopy scorching. *Austral Ecology* **31**, 892-896. doi:10.1111/j.1442-9993.2006.01656.x.
- Bär A, Nardini A, Mayr S (2018) Post-fire effects in xylem hydraulics of Picea abies, Pinus
- sylvestris and Fagus sylvatica. *New Phytologist* **217**, 1484-1493. doi:10.1111/nph.14916.
- Battipaglia G, Savi T, Ascoli D, Castagneri D, Esposito A, Mayr S, Nardini A (2016) Effects of
- 351 prescribed burning on ecophysiological, anatomical and stem hydraulic properties in Pinus
- pinea L. *Tree Physiology* **36**, 1019-1031. doi:10.1093/treephys/tpw034.Beck PSA, Juday
- 353 GP, Alix C, Barber VA, Winslow SE, Sousa EE, Heiser P, Herriges JD, Goetz SJ (2011)
- 354 Changes in forest productivity across Alaska consistent with biome shift. *Ecology Letters*
- **14**, 373–379. doi:10.1111/j.1461-0248.2011.01598.x.Bonan GB (2008) Forests and Climate
- 356 Change: Forcings, Feedbacks, and the Climate Benefits of Forests. *Science* **320**, 1444–1449.
- doi:10.1126/science.1155121.
- Brando PM, Balch JK, Nepstad DC, Morton DC, Putz FE, Coe MT, Silverio D, Macedo MN,
- 359 Davidson EA, Nobrega CC, Alencar A, Soares-Filho BS (2014) Abrupt increases in
- 360 Amazonian tree mortality due to drought-fire interactions. *Proceedings of the National*

361 *Academy of Sciences* **111**, 6347–6352. doi:10.1073/pnas.1305499111.

- 362 Carlyle JC, Malcolm DC (1986) Larch litter and nitrogen availability in mixed larch–spruce
- 363 stands. I. Nutrient withdrawal, redistribution, and leaching loss from larch foliage at
- senescence. *Canadian Journal of Forest Research* **16**, 321–326. doi:10.1139/x86-054.
- Chiatante D, Di Iorio A, Scippa GS (2005) Root responses of Quercus ilex L. seedlings to
- drought and fire. *Plant Biosystems* **139**, 198–208. doi:10.1080/11263500500160591.
- Chiatante D, Tognetti R, Scippa GS, Congiu T, Baesso B, Terzaghi M, Montagnoli A (2015)

- 368 Interspecific variation in functional traits of oak seedlings (Quercus ilex, Quercus trojana,
- 369 Quercus virgiliana) grown under artificial drought and fire conditions. *Journal of Plant*
- 370 *Research* **128**, 595–611. doi:10.1007/s10265-015-0729-4.
- Coops NC, Waring RH (2011) Estimating the vulnerability of fifteen tree species under changing
- climate in Northwest North America. *Ecological Modelling* **222**, 2119-2129.
- doi.org/10.1016/j.ecolmodel.2011.03.033.
- Dai AG (2013) Increasing drought under global warming in observations and models. *Nature Climate Change* 3, 52–58. doi:10.1038/nclimate1633.
- De Groot WJ, Flannigan MD, Cantin AS (2013) Climate change impacts on future boreal fire
- 377 regimes. *Forest Ecology and Management* **294**, 35–44. doi:10.1016/j.foreco.2012.09.027.
- Di Iorio A, Montagnoli A, Scippa GS, Chiatante D (2011) Fine root growth of Quercus
- pubescens seedlings after drought stress and fire disturbance. *Environmental and*
- 380 *Experimental Botany* 74, 272–279. doi:10.1016/j.envexpbot.2011.06.009.
- 381 Dulamsuren C, Hauck M, Leuschner C (2010) Recent drought stress leads to growth reductions
- in Larix sibirica in the western Khentey, Mongolia. *Global Change Biology* **16**, 3024–3035.
- 383 doi:10.1111/j.1365-2486.2009.02147.x.
- 384 Gower ST, Grier CC, Vogt KA (1989) Aboveground production and N and P use by Larix
- occidentalis and Pinus contorta in the Washington Cascades, USA. *Tree physiology* **5**, 1–11.
- 386 http://www.ncbi.nlm.nih.gov/pubmed/14972994.
- 387 Gower ST, Richards JH (1990) Larches: Deciduous Conifers in an Evergreen World. *BioScience*
- **40**, 818–826. doi:10.2307/1311484.
- Guo DL, Mitchell RJ, Hendricks JJ (2004) Fine root branch orders respond differentially to
- carbon source-sink manipulations in a longleaf pine forest. *Oecologia* **140**, 450–457.

- doi:10.1007/s00442-004-1596-1.
- Hacke UG, Stiller V, Sperry JS, Pittermann J, McCulloh KA (2001) Cavitation fatigue.
- 393 Embolism and refilling cycles can weaken the cavitation resistance of xylem. *Plant*
- 394 *Physiology* **125**, 779-786. doi.org/10.1104/pp.125.2.779.
- Hartmann H, Ziegler W, Kolle O, Trumbore S (2013) Thirst beats hunger declining hydration
- during drought prevents carbon starvation in Norway spruce saplings. *New Phytologist* **200**,
- 397 340–349. doi:10.1111/nph.12331.
- Higgins SS, Black RA, Radamaker GK, Bidlake WR (1987) Gas exchange characteristics and
- 399 water relations of larix occidentalis. *Can J For Res* **17**, 1364–1370.
- 400 Kagawa A, Sugimoto A, Maximov TC (2006) Seasonal course of translocation, storage and
- remobilization of 13C pulse-labeled photoassimilate in naturally growing Larix gmelinii
 saplings. *New Phytologist* 171, 793–804. doi:10.1111/j.1469-8137.2006.01780.x.
- 403 Kavanagh KL, Dickinson MB, Bova AS (2010) A way forward for fire-caused tree mortality
- 404 prediction: Modeling a physiological consequence of fire. *Fire Ecology* **6**, 80–94.
- doi:10.4996/fireecology.0601080.
- Kharuk VI, Ranson KJ, Dvinskaya ML (2008) Wildfires dynamic in the larch dominance zone. *Geophysical Research Letters* 35, 1–6. doi:10.1029/2007GL032291.
- 408 Marchin R, Zeng H, Hoffmann W (2010) Drought-deciduous behavior reduces nutrient losses
- from temperate deciduous trees under severe drought. *Oecologia* **163**, 845–854.
- 410 doi:10.1007/s00442-010-1614-4.
- 411 Martin-StPaul N, Delzon S, Cochard H (2017) Plant resistance to drought depends on timely
- 412 stomatal closure. *Ecology Letters* **20**, 1437-1447. doi.org/10.1111/ele.12851.
- 413 McDowell N, Pockman WT, Allen CD, Breshears DD, Cobb N, Kolb T, Plaut J, Sperry J, West

414	A, Williams DG, Yepez EA (2008) Mechanisms of plant survival and mortality during
415	drought: why do some plants survive while others succumb to drought? New Phytologist
416	178 , 719-739. doi:10.1111/j.1469-8137.2008.02436.x.
417	McDowell NG, Beerling DJ, Breshears DD, Fisher RA, Raffa KF, Stitt M (2011) The
418	interdependence of mechanisms underlying climate-driven vegetation mortality. Trends in
419	Ecology and Evolution 26, 523–532. doi:10.1016/j.tree.2011.06.003.
420	McDowell NG, Michaletz ST, Bennett KE, Solander KC, Xu C, Maxwell RM, Allen CD,
421	Middleton RS (2018) Predicting chronic climate-driven disturbances and their mitigation.
422	Trends in Ecology & Evolution 33, 15-27. doi.org/10.1016/j.tree.2017.10.002 15.
423	Michaletz ST, Johnson EA (2006) A heat transfer model of crown scorch in forest fires.
424	Canadian Journal of Forest Research 36 , 2839–2851. doi:10.1139/x06-158.
425	Michaletz ST, Johnson EA (2007) How forest fires kill trees: A review of the fundamental
426	biophysical processes. Scandinavian Journal of Forest Research 22, 500-515.
427	doi:10.1080/02827580701803544.
428	Michaletz ST, Johnson EA (2008) A biophysical process model of tree mortality in surface fires.
429	Canadian Journal of Forest Research 38, 2013–2029. doi:10.1139/X08-024.
430	Michaletz ST, Johnson EA, Tyree MT (2012) Moving beyond the cambium necrosis hypothesis
431	of post-fire tree mortality: Cavitation and deformation of xylem in forest fires. New
432	Phytologist 194, 254–263. doi:10.1111/j.1469-8137.2011.04021.x.
433	Michaletz ST (2018) Xylem dysfunction in fires: towards a hydraulic theory of plant responses
434	to multiple disturbance stressors. New Phytologist 217, 1391-1393. doi:10.1111/nph.15013.
435	Millar CI, Stephenson NL (2015) Temperate forest health in an era of emerging
436	megadisturbance. Science 349, 823-826. doi:10.1126/science.aaa9933.

437	Munné-Bosch S, Alegre L (2004) Die and let live: leaf senescence contributes to plant survival
438	under drought stress. Functional Plant Biology 31, 203-216. doi:10.1071/FP03236.
439	Nardini A, Lo Gullo MA, Salleo S (2011) Refilling embolized xylem conduits: is it a matter of
440	phloem unloading? Plant Science 180, 604-611. doi:10.1016/j.plantsci.2010.12.011.
441	Pataki DE, Oren R, Phillips N (1998) Responses of sap flux and stomatal conductance of Pinus
442	taeda L. trees to stepwise reductions in leaf area. Journal of Experimental Botany 49, 871-
443	878. doi.org/10.1093/jxb/49.322.871.
444	Peng C, Ma Z, Lei X, Zhu Q, Chen H, Wang W, Liu S, Li W, Fang X, Zhou X (2011) A

- drought-induced pervasive increase in tree mortality across Canada's boreal forests. *Nature*
- 446 *Climate Change* **1**, 467–471. doi:10.1038/nclimate1293.
- 447 Rehfeldt GE, Jaquish BC (2010) Ecological impacts and management strategies for western
- larch in the face of climate-change. *Mitigation and Adaptation Strategies for Global*
- 449 *Change* **15**, 283-306. doi:10.1007/s11027-010-9217-2.
- 450 Reich PB, Walters MB, Krause SC, Vanderklein DW, Raffs KF, Tabone T (1993) Growth,
- 451 nutrition and gas exchange of Pinus resinosa following artificial defoliation. *Trees* **7**, 67-77.
- doi.org/10.1007/BF00225472.
- 453 Rodriguez-Dominguez CM, Buckley TN, Egea G, Cires A, Hernandez-Santana V, Martorell S,
- 454 Diaz-Espejo A (2016) Most stomatal closure in woody species under moderate drought can
- be explained by stomatal responses to leaf turgor. *Plant, Cell & Environment* **39**, 2014-
- 456 2026. https://doi.org/10.1111/pce.12774.
- 457 Rood SB, Patiño S, Coombs K, Tyree MT (2000) Branch sacrifice: cavitation-associated drought
- 458 adaptation of riparian cottonwoods. *Trees* **14**, 248-257. doi.org/10.1007/s004680050010.
- 459 Sala, A.; Piper, F.; Hoch G (2010) Physiological mechanisms of drought induced tree mortality

460	are far from being resolved. New Phytologist 186, 274–281. doi:10.1111/nph.12154.
461	Salleo S, Trifilò P, Esposito S, Nardini A, Gullo MAL (2009) Starch-to-sugar conversion in
462	wood parenchyma of field-growing Laurus nobilis plants: a component of the signal
463	pathway for embolism repair? Functional Plant Biology 36, 815-825.
464	doi.org/10.1071/FP09103.
465	Schmidt WC, Shearer RC, Roe AL (1976) Ecology and silviculture of wester larch forests.
466	United States Department of Agriculture, Techinical Bulletin 1520.
467	Shuman JK, Shugart HH, O'Halloran TL (2011) Sensitivity of Siberian larch forests to climate
468	change. Global Change Biology 17, 2370–2384. doi:10.1111/j.1365-2486.2011.02417.x.
469	Silla F, Escudero A (2006) Coupling N cycling and N productivity in relation to seasonal stress
470	in Quercus pyrenaica Willd. saplings. Plant and Soil 282, 301-311. doi:10.1007/s11104-
471	006-6249-1.
472	Smith AMS, Kolden CA, Tinkham W, Talhelm A, Marshall J, Hudak A, Boschetti L, Falkowski
473	M, Greenberg J, Anderson J, Kliskey A, Alessa L, Keefe R, Gosz J (2014) Remote sensing
474	the vulnerability of vegetation in natural terrestrial ecosystems. Remote Sensing of
475	Environment 154, 322-337. doi.org/10.1016/j.rse.2014.03.038 0034-4257.
476	Smith AMS, Sparks AM, Kolden CA, Abatzoglou JT, Talhelm AF, Johnson DM, Boschetti L,
477	Lutz JA, Apostol KG, Yedinak KM, Tinkham WT, Kremens RJ (2016a) Towards a new
478	paradigm in fire severity research using dose - response experiments. International Journal
479	of Wildland Fire 25, 158–166. doi:10.1071/WF15130.
480	Smith AMS, Talhelm AF, Johnson DM, Sparks AM, Kolden CA, Yedinak KM, Apostol KG,
481	Tinkham WT, Abatzoglou JT, Lutz JA, Davis AS, Pregitzer KS, Adams HD, Kremens RL
482	(2017) Effects of fire radiative energy density dose on Pinus contorta and Larix occidentalis

- 483 seedling physiology and mortality. *International Journal of Wildland Fire* **26**, 82–94.
- 484 doi:10.1071/WF16077.
- Smith KT (2015) Compartmentalization, resource allocation, and wood quality. *Current Forestry*
- 486 *Reports* **1**, 8-15. doi.org/10.1007/s40725-014-0002-4.
- 487 Smith KT, Arbellay E, Falk DA, Sutherland EK (2016b) Macroanatomy and
- 488 compartmentalization of recent fire scars in three North American conifers. *Canadian*
- 489 *Journal of Forest Research* **46**, 535-542. doi.org/10.1139/cjfr-2015-0377.
- 490 Sparks AM, Kolden CA, Talhelm AF, Smith AMS, Apostol K, Johnson DM, Boschetti L (2016)
- 491 Spectral Indices Accurately Quantify Changes in Seedling Physiology Following Fire:
- 492 Towards Mechanistic Assessments of Post-Fire Carbon Cycling. *Remote Sensing* **8**, 572.
- doi:10.3390/rs8070572.
- 494 Sparks AM, Smith AMS, Talhelm AF, Kolden CA, Yedinak KM, Johnson DM (2017) Impacts
- 495 of fire radiative flux on mature Pinus ponderosa growth and vulnerability to secondary
- 496 mortality agents. *International Journal of Wildland Fire* **26**, 95–106.
- doi:10.1071/WF16139.
- 498 Sperry JS, Hacke UG, Oren R., Comstock JP (2002) Water deficits and hydraulic limits to leaf

499 water supply. *Plant, Cell & Environment* **25**, 251-263. https://doi.org/10.1046/j.0016-

- 500 8025.2001.00799.x.
- 501 Thompson MT, Koyama A, Kavanagh KL (2017) Wildfire effects on physiological properties in
- 502 conifers of central Idaho forests, USA. *Trees* **31**, 545-555. doi:10.1007/s00468-016-1489-z.
- Van Mantgem PJ, Nesmith JCB, Keifer M, Knapp EE, Flint A, Flint L (2013) Climatic stress
- 504 increases forest fire severity across the western United States. *Ecology Letters* **16**, 1151–
- 505 1156. doi:10.1111/ele.12151.

506	Van Mantgem PJ, Caprio AC, Stephenson NL, Das AJ (2016) Does prescribed fire promote
507	resistance to drought in low elevation forests of the Sierra Nevada, California, USA? Fire
508	<i>Ecology</i> 12 , 13–25. doi:10.4996/fireecology.1201013.
509	Van Nieuwstadt MGL, Sheil D (2005) Drought, fire and tree survival in a Borneo rain forest,
510	East Kalimantan, Indonesia. Journal of Ecology 93, 191-201. doi:10.1111/j.1365-
511	2745.2005.00954.x.
512	Vance NC, Running SW (1985) Light reduction and moisture stress: effects on growth and water
513	relations of western larch seedlings. Canadian Journal of Forest Research 15, 72–77.
514	doi:10.1139/x85-013.West AG, Nel JA, Bond WJ, Midgley JJ (2016) Experimental
515	evidence for heat plume-induced cavitation and xylem deformation as a mechanism of rapid
516	post-fire tree mortality. New Phytologist 211, 828–838. doi:10.1111/nph.13979.
517	Young AM, Higuera PE, Duffy PA, Hu FS (2016) Climatic thresholds shape northern high-
518	latitude fire regimes and imply vulnerability to future climate change. <i>Ecography</i> 40 , 1–12.
519	doi:10.1111/ecog.02205.
520	Zhang SB, Zhang JL, Cao KF (2017) Divergent Hydraulic Safety Strategies in Three Co-
521	occurring Anacardiaceae Tree Species in a Chinese Savanna. Frontiers in Plant Science 7,
522	2075. doi.org/10.3389/fpls.2016.02075
523	Zwieniecki MA, Holbrook NM (2009) Confronting Maxwell's demon: biophysics of xylem
524	embolism repair. Trends in Plant Science 14, 530-534.
525	doi.org/10.1016/j.tplants.2009.07.002.
526	
527	
528	







- 532 (FRE) (dose-response curve) as tree water stress increases (adapted from Smith et al. 2017).
- 533 Grey line indicates observed *Larix* mortality in Smith et al. (2017).











Figure 4. Bud density (buds sapling⁻¹) from 1 to 60 days post-fire. Cumulative mortality (%) is also shown (bar graph) from 1 to 365 days post-fire. Green diamonds and bars represent lowwater-stress *Larix*, orange triangles and bars represent moderate-water-stress *Larix*, and red squares and bars represent severe-water-stress *Larix*. Mean values sharing the same letter are not significantly different (p < 0.05). Error bars for bud density represent standard error (n = 5).

- 578
- 579
- 580



denoted as Ψ_{predawn} . Yellow, senescing needles are clearly visible in the severe-water-stress photo on burn day (bottom row, '0' day) as well as differences in bud density between the groups at 30

586 and 60 days post-fire.



